

04/06/01



J1035 U.S. PTO

04-09-01

A

UTILITY  
PATENT APPLICATION  
TRANSMITTAL(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

10782-0010

Named Inventor(s)

Joseph Allen Carroll and Robert L. Mitchell

Title

CLIP-MOUNTED CATALYST DEVICE

Express Mail Label No.

EL 690 566 141 US

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09/02/01

04/06/01

## APPLICATION ELEMENTS

ADDRESS TO: Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

## ACCOMPANYING APPLICATION PARTS

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification, Claims,  
and Abstract Total Pages 19
4. ☒ Drawings Total Sheets 3
5. Oath or Declaration Total Pages 2
  - a. ☒ unexecuted
  - b. ☐ Copy from prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17  
completed)  
[Note Box 5 Below]
    - (i) ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in the prior  
application, see 37 CFR 1.63(d)(2)  
and 1.33(b).
6. ☐ Incorporation by Reference  
(usable if Box 5b is checked)  
The entire disclosure of the prior application, from  
which a copy of the oath or declaration is supplied  
under Box 5b, is considered as being part of the  
disclosure of the accompanying application and is  
hereby incorporated by reference therein.
7. ☐ Applicant does not intend to file this application in  
any foreign country, and requests that this  
application NOT BE PUBLISHED.
8. ☐ Application Data Sheet. See 37 CFR 1.76
9. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)

10. ☐ Nucleotide and/or Amino Acid Sequence  
Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statement verifying identity of above  
copies
11. ☐ Assignment:
  - a. ☐ Assignment Papers (cover sheet &  
document(s))
  - b. ☐ Assignment is of record in parent  
application No.
12. ☐ 37 CFR 3.73(b) Statement  
(when there is an assignee)  
☐ Power of Attorney by assignee
13. ☐ English Translation Document (if applicable)
14. ☐ Information Disclosure Statement (IDS) PTO-  
1449  
☐ Copies of IDS Citations
15. ☐ Preliminary Amendment
16. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
17. ☐ Certified Copy of Priority Document(s)
18. ☐ Request and Certification under 35 U.S.C.  
122(b)(2)(B)(i). Applicant must attach form  
PTO/SB/35 or its equivalent.
19. ☒ Other: Check for \$ 404.00

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information and in a preliminary amendment, or in an Application Date Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No:  
Prior application information: Examiner: Group Art Unit:  
Recite complete dependency back to first parent application:

18. CORRESPONDENCE ADDRESS:

KEVIN W. KING

By: Kevin W. King

Reg. No. 42,737

SUTHERLAND ASBILL &amp; BRENNAN LLP

Date: April 6, 2001

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**FEE TRANSMITTAL**

Attorney Docket No. 10782-0010

This sheet accompanies a patent application transmittal for the following application:

Inventor(s): Joseph Allen Carroll and Robert L. Mitchell  
Filing Date: April 6, 2001  
Title: "Clip-Mounted Catalyst Device"

The filing fee is calculated as shown below:

**1. FILING FEE:**

FOR:	SMALL ENTITY		LARGE ENTITY	
	FEE	FEE PAID	FEE	FEE PAID
<input checked="" type="checkbox"/> UTILITY FILING FEE	\$355	\$355	\$710	
<input type="checkbox"/> DESIGN FILING FEE	\$160		\$320	
<input type="checkbox"/> PLANT FILING FEE	\$245		\$490	
<input type="checkbox"/> REISSUE FILING FEE	\$355		\$710	
<input type="checkbox"/> PROVISIONAL FILING FEE	\$75		\$150	
SUBTOTAL (1)		\$355		\$

**2. CLAIMS:**

FOR:	SMALL ENTITY			LARGE ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEE	FEE
TOTAL CLAIMS	24 - 20 =	4	x 9 =	36	x 18 =
INDEP. CLAIMS	5 - 3 =	2	x 40 =	80	x 80 =
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+135 =		+270 =
SUBTOTAL (2)				\$116	\$

**3. ADDITIONAL FEES:**

FOR:	SMALL ENTITY		LARGE ENTITY	
	FEE	FEE PAID	FEE	FEE PAID
<input type="checkbox"/> LATE FILING, FEE OR OATH	\$65		\$130	
<input type="checkbox"/> NON-ENGLISH SPECIFICATION	\$130		\$130	
<input type="checkbox"/> OTHER				
SUBTOTAL (3)		\$		\$

**TOTAL FILING FEES: \$471.00**A check is enclosed for the total amount: **\$404.00**☒ Charge any additional fees required under 37 C.F.R. 1.16 or 1.17 to Deposit Account 19-5029.

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By: Kevin W. King  
Kevin W. King, Attorney for Applicant  
Reg. No. 42,737

Date: April 6, 2001